

(70169)

<b>Medical Benefit</b>		<b>Effective Date:</b> 01/01/16	<b>Next Review Date:</b> 09/19
<b>Preauthorization</b>	No	<b>Review Dates:</b> 01/08, 11/08, 09/09, 09/10, 09/11, 09/12, 09/13, 09/14, 09/15, 09/16, 09/17, 09/18	

**Preauthorization is not required.**

*The following protocol contains medical necessity criteria that apply for this service. The criteria are also applicable to services provided in the local Medicare Advantage operating area for those members, unless separate Medicare Advantage criteria are indicated. If the criteria are not met, reimbursement will be denied and the patient cannot be billed. Please note that payment for covered services is subject to eligibility and the limitations noted in the patient's contract at the time the services are rendered.*

Populations	Interventions	Comparators	Outcomes
Individuals: • With urinary incontinence who have failed conservative	Interventions of interest are: • Sacral nerve neuromodulation	Comparators of interest are: • Pharmacologic treatment	Relevant outcomes include: • Symptoms • Morbid events • Treatment-related morbidity
Individuals: • With fecal incontinence who have failed conservative treatment	Interventions of interest are: • Sacral nerve neuromodulation	Comparators of interest are: • Continued conservative therapy (e.g., dietary modification, bulking, pharmacologic treatment)	Relevant outcomes include: • Symptoms • Morbid events • Treatment-related morbidity
Individuals: • With constipation who have failed conservative treatment	Interventions of interest are: • Sacral nerve neuromodulation	Comparators of interest are: • Continued conservative therapy (e.g., dietary modification, pharmacologic treatment)	Relevant outcomes include: • Symptoms • Morbid events • Treatment-related morbidity
Individuals: • With chronic pelvic pain	Interventions of interest are: • Sacral nerve neuromodulation	Comparators of interest are: • Continued conservative therapy (e.g., cognitive-behavioral therapy, pharmacologic treatment)	Relevant outcomes include: • Symptoms • Morbid events • Treatment-related morbidity

### DESCRIPTION

Sacral nerve neuromodulation (SNM), also known as sacral nerve stimulation, involves the implantation of a permanent device that modulates the neural pathways controlling bladder or rectal function. This protocol addresses the use of SNM to treat urinary or fecal incontinence, fecal nonobstructive retention, and chronic pelvic pain in patients with intact neural innervation of the bladder and/or rectum.

### SUMMARY OF EVIDENCE

For individuals with urinary incontinence who have failed conservative treatment who receive SNM, the evidence includes randomized controlled trials (RCTs), systematic reviews, and case series. Relevant outcomes

are symptoms, morbid events, and treatment-related morbidity. Results from the RCTs and case series with long-term follow-up have suggested that SNM reduces symptoms of urge incontinence, urgency-frequency syndrome, nonobstructive urinary retention, and overactive bladder in selected patients. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals with fecal incontinence who have failed conservative treatment who receive SNM, the evidence includes RCTs and systematic reviews. Relevant outcomes are symptoms, morbid events, and treatment-related morbidity. Although relatively small, the available trials had a low risk of bias and demonstrated improvements in incontinence relative to alternatives. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals with constipation who have failed conservative treatment who receive SNM, the evidence includes RCTs and systematic reviews. Relevant outcomes are symptoms, morbid events, and treatment-related morbidity. The available trials have not consistently reported improvements in outcomes with SNM. Additional studies are needed to demonstrate the health benefits of this technology. The evidence is insufficient to determine the effects of the technology on health outcomes.

For individuals with chronic pelvic pain who receive SNM, the evidence is limited to case series. Relevant outcomes are symptoms, morbid events, and treatment-related morbidity. The evidence is insufficient to determine the effects of the technology on health outcomes.

## POLICY

### URINARY INCONTINENCE AND NON-OBSTRUCTIVE RETENTION

#### Criteria A

A trial period of sacral nerve neuromodulation with either percutaneous nerve stimulation or a temporarily implanted lead may be considered **medically necessary** in patients who meet all of the following criteria:

1. There is a diagnosis of at least one of the following:
  - a. Urge incontinence
  - b. Urgency-frequency syndrome
  - c. Non-obstructive urinary retention
  - d. Overactive bladder.
2. There is documented failure or intolerance to at least two conventional conservative therapies (e.g., behavioral training such as bladder training, prompted voiding, or pelvic muscle exercise training, pharmacologic treatment for at least a sufficient duration to fully assess its efficacy, and/or surgical corrective therapy).
3. The patient is an appropriate surgical candidate.
4. Incontinence is not related to a neurologic condition.

#### Criteria B

Permanent implantation of a sacral nerve neuromodulation device may be considered **medically necessary** in patients who meet all of the following criteria:

1. All of the criteria in A. 1-4 above are met.
2. A trial stimulation period demonstrates at least 50% improvement in symptoms over a period of at least 48 hours.

Other urinary/voiding applications of sacral nerve neuromodulation are considered **investigational**, including but not limited to treatment of stress incontinence or urge incontinence due to a neurologic condition (e.g., detrusor hyperreflexia, multiple sclerosis, spinal cord injury, or other types of chronic voiding dysfunction).

#### FECAL INCONTINENCE

##### Criteria A

A trial period of sacral nerve neuromodulation with either percutaneous nerve stimulation or a temporarily implanted lead may be considered **medically necessary** in patients who meet all of the following criteria:

1. There is a diagnosis of chronic fecal incontinence of more than two incontinent episodes on average per week for more than six months or for more than 12 months after vaginal childbirth.
2. There is documented failure or intolerance to conventional conservative therapy (e.g., dietary modification, the addition of bulking and pharmacologic treatment) for at least a sufficient duration to fully assess its efficacy.
3. The patient is an appropriate surgical candidate.
4. The condition is not related to an anorectal malformation (e.g., congenital anorectal malformation; defects of the external anal sphincter over 60°; visible sequelae of pelvic radiation; active anal abscesses and fistulae) or chronic inflammatory bowel disease.
5. Incontinence is not related to a neurologic condition.
6. The patient has not had rectal surgery in the previous 12 months, or in the case of cancer, the patient has not had rectal surgery in the past 24 months.

##### Criteria B

Permanent implantation of a sacral nerve neuromodulation device may be considered **medically necessary** in patients who meet all of the following criteria:

1. All of the criteria in A. 1-6 above are met.
2. A trial stimulation period demonstrates at least 50% improvement in symptoms over a period of at least 48 hours.

Sacral nerve neuromodulation is **investigational** in the treatment of chronic constipation or chronic pelvic pain.

#### POLICY GUIDELINES

The International Continence Society has defined overactive bladder syndrome (OAB) as “urinary urgency, usually with urinary frequency and nocturia, with or without urgency urinary incontinence.” (Available at <https://www.ics.org/committees/standardisation/terminologydiscussions/overactivebladder>).

#### MEDICARE ADVANTAGE

The preceding policy statements apply with the following exceptions:

##### URINARY INCONTINENCE AND NON-OBSTRUCTIVE RETENTION

- A.2 Patient must be refractory to conventional therapy (documented behavioral, pharmacologic and/or surgical corrective therapy).

- B.2 Before a patient is eligible for permanent implantation; he/she must demonstrate a 50% or greater improvement through test stimulation.

## BACKGROUND

### URINARY AND FECAL INCONTINENCE

Urge incontinence is defined as leakage of urine when there is a strong urge to void. Urgency-frequency is an uncontrollable urge to urinate, resulting in very frequent, small volumes and is a prominent symptom of interstitial cystitis (also called bladder pain syndrome). Urinary retention is the inability to empty the bladder of urine completely. Fecal incontinence can arise from a variety of mechanisms, including rectal wall compliance, efferent and afferent neural pathways, central and peripheral nervous systems, and voluntary and involuntary muscles. Fecal incontinence is more common in women, due mainly to muscular and neural damage that may occur during vaginal delivery.

#### Treatment

Treatment using sacral nerve neuromodulation, also known as indirect sacral nerve stimulation, is one of several alternative modalities for patients with urinary or fecal incontinence (urge incontinence, significant symptoms of urgency-frequency, nonobstructive urinary retention) who have failed behavioral (e.g., prompted voiding) and/or pharmacologic therapies.

The sacral nerve neuromodulation device consists of an implantable pulse generator that delivers controlled electrical impulses. This pulse generator is attached to wire leads that connect to the sacral nerves, most commonly the S3 nerve root. Two external components of the system help control the electrical stimulation. A control magnet, kept by the patient, is used to turn the device on or off. A console programmer is kept by the physician and used to adjust the settings of the pulse generator.

Before implantation of the permanent device, patients undergo an initial testing phase to estimate potential response to treatment. The first type of testing developed was percutaneous nerve evaluation (PNE). This procedure is done with the patient under local anesthesia, using a test needle to identify the appropriate sacral nerve(s). Once identified, a temporary wire lead is inserted through the test needle and left in place for four to seven days. This lead is connected to an external stimulator, which is carried by patients in their pocket or on their belt. The results of this test phase are used to determine whether patients are appropriate candidates for the permanent device. If patients show a 50% or greater reduction in symptom frequency, they are deemed eligible for the permanent device.

The second type of testing is a two stage surgical procedure. In the first stage, a quadripolar-tined lead is implanted (stage 1). The testing phase can last as long as several weeks, and if patients show a 50% or greater reduction in symptom frequency, they can proceed to stage 2 of the surgery, which is permanent implantation of the neuromodulation device. The 2-stage surgical procedure has been used in various ways. They include its use instead of PNE, for patients who failed PNE, for patients with an inconclusive PNE, or for patients who had a successful PNE to refine patient selection further.

The permanent device is implanted with the patient under general anesthesia. The electrical leads are placed in contact with the sacral nerve root(s) via an incision in the lower back, and the wire leads are extended through a second incision underneath the skin, across the flank to the lower abdomen. Finally, a third incision is made in the lower abdomen where the pulse generator is inserted and connected to the wire leads. Following implantation, the physician programs the pulse generator to the optimal settings for that patient. The patient can switch the pulse generator on and off by placing the control magnet over the area of the pulse generator for one to two seconds.

This protocol does not address pelvic floor stimulation, which refers to electrical stimulation of the pudendal nerve. Pelvic floor stimulation is addressed separately (see the Pelvic Floor Stimulation as a Treatment of Urinary and Fecal Incontinence Protocol). Also, this protocol does not address devices that provide direct sacral nerve stimulation in patients with spinal cord injuries.

### REGULATORY STATUS

In 1997, the InterStim® Sacral Nerve Stimulation system (Medtronic) was approved by the U.S. Food and Drug Administration (FDA) through the premarket approval process for the indication of urinary urge incontinence in patients who have failed or could not tolerate more conservative treatments. In 1999, the device received FDA approval for the additional indications of urgency-frequency and urinary retention in patients without mechanical obstruction. In 2006, the InterStim II® System (Medtronic) was approved by FDA through the premarket approval process for treatment of intractable cases of overactive bladder and urinary retention. The new device is smaller and lighter than the original and is reported to be suited for those with lower energy requirements or small stature. The device also includes updated software and programming options.

In 2011, the InterStim® System was approved by FDA through the premarket approval process for the indication of chronic fecal incontinence in patients who have failed or could not tolerate more conservative treatments.

The InterStim® device has not been specifically approved by FDA for treatment of chronic pelvic pain.

FDA product code: EZW.

### RELATED PROTOCOLS

Biofeedback as a Treatment of Fecal Incontinence or Constipation

Biofeedback as a Treatment of Urinary Incontinence in Adults

Pelvic Floor Stimulation as a Treatment of Urinary and Fecal Incontinence

Percutaneous Tibial Nerve Stimulation

Transanal Radiofrequency Treatment of Fecal Incontinence

---

Services that are the subject of a clinical trial do not meet our Technology Assessment Protocol criteria and are considered investigational. *For explanation of experimental and investigational, please refer to the Technology Assessment Protocol.*

It is expected that only appropriate and medically necessary services will be rendered. We reserve the right to conduct prepayment and postpayment reviews to assess the medical appropriateness of the above-referenced procedures. **Some of this protocol may not pertain to the patients you provide care to, as it may relate to products that are not available in your geographic area.**

### REFERENCES

We are not responsible for the continuing viability of web site addresses that may be listed in any references below.

1. Blue Cross and Blue Shield Association Technology Evaluation Center (TEC). Sacral nerve stimulation for the treatment of urge incontinence. TEC Assessments 1998;Volume 13:Tab 18.
2. Blue Cross and Blue Shield Association Technology Evaluation Center (TEC). Sacral nerve stimulation for the treatment of refractory urinary urgency/frequency in adults. TEC Assessments. 2000;Volume 15:Tab 7.
3. Food and Drug Administration (FDA). Summary of Safety and Effectiveness: Medtronic Interstim System for Urinary Control. [http://www.accessdata.fda.gov/cdrh\\_docs/pdf/P970004S004b.pdf](http://www.accessdata.fda.gov/cdrh_docs/pdf/P970004S004b.pdf). Accessed February 8, 2018.
4. Weil EH, Ruiz-Cerda JL, Eerdmans PH, et al. Sacral root neuromodulation in the treatment of refractory urinary urge incontinence: a prospective randomized clinical trial. *Eur Urol*. Feb 2000;37(2):161-171. PMID 10705194
5. Siegel S, Noblett K, Mangel J, et al. Results of a prospective, randomized, multicenter study evaluating sacral neuromodulation with InterStim therapy compared to standard medical therapy at 6-months in subjects with mild symptoms of overactive bladder. *Neurourol Urodyn*. Mar 2015;34(3):224-230. PMID 24415559
6. Noblett K, Siegel S, Mangel J, et al. Results of a prospective, multicenter study evaluating quality of life, safety, and efficacy of sacral neuromodulation at twelve months in subjects with symptoms of overactive bladder. *Neurourol Urodyn*. Feb 2016;35(2):246-251. PMID 25546568
7. Amundsen CL, Richter HE, Menefee SA, et al. OnabotulinumtoxinA vs. sacral neuromodulation on refractory urgency urinary incontinence in women: a randomized clinical trial. *JAMA*. Oct 04 2016;316(13):1366-1374. PMID 27701661
8. Groen J, Blok BF, Bosch JL. Sacral neuromodulation as treatment for refractory idiopathic urge urinary incontinence: 5-year results of a longitudinal study in 60 women. *J Urol*. Sep 2011;186(3):954-959. PMID 21791355
9. White WM, Mobley JD, 3rd, Doggweiler R, et al. Incidence and predictors of complications with sacral neuro-modulation. *Urology*. Apr 2009;73(4):731-735. PMID 19193415
10. Thaha MA, Abukar AA, Thin NN, et al. Sacral nerve stimulation for faecal incontinence and constipation in adults. *Cochrane Database Syst Rev*. Aug 24 2015(8):CD004464. PMID 26299888
11. Thin NN, Horrocks EJ, Hotouras A, et al. Systematic review of the clinical effectiveness of neuromodulation in the treatment of faecal incontinence. *Br J Surg*. Oct 2013;100(11):1430-1447. PMID 24037562
12. Tan E, Ngo NT, Darzi A, et al. Meta-analysis: sacral nerve stimulation versus conservative therapy in the treatment of faecal incontinence. *Int J Colorectal Dis*. Mar 2011;26(3):275-294. PMID 21279370
13. Maeda Y, Matzel K, Lundby L, et al. Postoperative issues of sacral nerve stimulation for fecal incontinence and constipation: a systematic literature review and treatment guideline. *Dis Colon Rectum*. Nov 2011; 54(11):1443-1460. PMID 21979192
14. Tjandra JJ, Chan MK, Yeh CH, et al. Sacral nerve stimulation is more effective than optimal medical therapy for severe fecal incontinence: a randomized, controlled study. *Dis Colon Rectum*. May 2008;51(5):494-502. PMID 18278532
15. Leroi AM, Parc Y, Lehur PA, et al. Efficacy of sacral nerve stimulation for fecal incontinence: results of a multicenter double-blind crossover study. *Ann Surg*. Nov 2005;242(5):662-669. PMID 16244539
16. Wexner SD, Collier JA, Devroede G, et al. Sacral nerve stimulation for fecal incontinence: results of a 120-patient prospective multicenter study. *Ann Surg*. Mar 2010;251(3):441-449. PMID 20160636
17. Mellgren A, Wexner SD, Collier JA, et al. Long-term efficacy and safety of sacral nerve stimulation for fecal incontinence. *Dis Colon Rectum*. Sep 2011;54(9):1065-1075. PMID 21825885
18. Hull T, Giese C, Wexner SD, et al. Long-term durability of sacral nerve stimulation therapy for chronic fecal incontinence. *Dis Colon Rectum*. Feb 2013;56(2):234-245. PMID 23303153
19. Altomare DF, Giuratrabocchetta S, Knowles CH, et al. Long-term outcomes of sacral nerve stimulation for faecal incontinence. *Br J Surg*. Mar 2015;102(4):407-415. PMID 25644687
20. Thomas GP, Dudding TC, Rahbour G, et al. Sacral nerve stimulation for constipation. *Br J Surg*. Jan 2013; 100(2):174-181. PMID 23124687

21. Knowles CH, Thin N, Gill K, et al. Prospective randomized double-blind study of temporary sacral nerve stimulation in patients with rectal evacuatory dysfunction and rectal hyposensitivity. *Ann Surg.* Apr 2012; 255(4):643-649. PMID 22418005
22. Zerbib F, Siproudhis L, Lehur PA, et al. Randomized clinical trial of sacral nerve stimulation for refractory constipation. *Br J Surg.* Feb 2017;104(3):205-213. PMID 27779312
23. Dinning PG, Hunt L, Patton V, et al. Treatment efficacy of sacral nerve stimulation in slow transit constipation: a two-phase, double-blind randomized controlled crossover study. *Am J Gastroenterol.* May 2015; 110(5):733-740. PMID 25895520
24. Kamm MA, Dudding TC, Melenhorst J, et al. Sacral nerve stimulation for intractable constipation. *Gut.* Mar 2010;59(3):333-340. PMID 20207638
25. Maeda Y, Lundby L, Buntzen S, et al. Sacral nerve stimulation for constipation: suboptimal outcome and adverse events. *Dis Colon Rectum.* Jul 2010;53(7):995-999. PMID 20551750
26. Tirlapur SA, Vlismas A, Ball E, et al. Nerve stimulation for chronic pelvic pain and bladder pain syndrome: a systematic review. *Acta Obstet Gynecol Scand.* Aug 2013;92(8):881-887. PMID 23710833
27. Martellucci J, Naldini G, Carrierio A. Sacral nerve modulation in the treatment of chronic pelvic pain. *Int J Colorectal Dis.* Jul 2012;27(7):921-926. PMID 22203519
28. Siegel S, Paszkiewicz E, Kirkpatrick C, et al. Sacral nerve stimulation in patients with chronic intractable pelvic pain. *J Urol.* Nov 2001;166(5):1742-1745. PMID 11586214
29. Baxter C, Kim JH. Contrasting the percutaneous nerve evaluation versus staged implantation in sacral neuromodulation. *Curr Urol Rep.* Sep 2010;11(5):310-314. PMID 20535593
30. Leong RK, De Wachter SG, Nieman FH, et al. PNE versus 1st stage tined lead procedure: a direct comparison to select the most sensitive test method to identify patients suitable for sacral neuromodulation therapy. *Neurourol Urodyn.* Sep 2011;30(7):1249-1252. PMID 21404317
31. Scheepens WA, Van Koevinge GA, De Bie RA, et al. Long-term efficacy and safety results of the two-stage implantation technique in sacral neuromodulation. *BJU Int.* Dec 2002;90(9):840-845. PMID 12460343
32. Marcelissen TA, Leong RK, de Bie RA, et al. Long-term results of sacral neuromodulation with the tined lead procedure. *J Urol.* Nov 2010;184(5):1997-2000. PMID 20850820
33. Borawski KM, Foster RT, Webster GD, et al. Predicting implantation with a neuromodulator using two different test stimulation techniques: A prospective randomized study in urge incontinent women. *Neurourol Urodyn.* Nov 2007;26(1):14-18. PMID 17123297
34. Bannowsky A, Wefer B, Braun PM, et al. Urodynamic changes and response rates in patients treated with permanent electrodes compared to conventional wire electrodes in the peripheral nerve evaluation test. *World J Urol.* Dec 2008;26(6):623-626. PMID 18629503
35. Gormley EA, Lightner DJ, Faraday M, et al. Diagnosis and Treatment of Overactive Bladder (Non-Neurogenic) in Adults: AUA/SUFU Guideline Amendment. *J Urol.* May 2015;193(5):1572-1580. PMID 25623739
36. American College of Obstetricians and Gynecologists. Urinary incontinence in women. *Obstet Gynecol.* Jun 2005; 105(6):1533-1545. PMID 15932869
37. ACOG Practice Bulletin No. 155: Urinary Incontinence in Women. *Obstet Gynecol.* Nov 2015;126(5):e66-81. PMID 26488524
38. National Institute for Health and Care Excellence (NICE). Faecal incontinence in adults: management [CG49]. 2007; <https://www.nice.org.uk/guidance/CG49>. Accessed February 8, 2018.
39. Rao SS, American College of Gastroenterology Practice Parameters Committee. Diagnosis and management of fecal incontinence. American College of Gastroenterology Practice Parameters Committee. *Am J Gastroenterol.* Aug 2004; 99(8):1585-1604. PMID 15307881
40. Pilkington SA, Emmett C, Knowles CH, et al. Surgery for constipation: systematic review and practice recommendations: Results V: Sacral Nerve Stimulation. *Colorectal Dis.* Sep 2017;19(Suppl 3):92-100. PMID 28960926

41. Centers for Medicare & Medicaid Services. National Coverage Determination (NCD) for SACRAL NERVE Stimulation For Urinary Incontinence (230.18). 2002; <https://www.cms.gov/medicare-coverage-database/details/ncd-details.aspx?NCDId=249&ncdver=1&CoverageSelection=National&Keyword=sacral+nerve&KeywordLookUp=Title&KeywordSearchType=And&clickon=search&bc=gAAAABAAAAAAAAA%3d%3d&>. Accessed February 8, 2018.