

(70187)

Medical Benefit		Effective Date: 01/01/08	Next Review Date: 01/19
Preauthorization	No	Review Dates: 06/07, 07/08, 05/09, 01/10, 01/11, 01/12, 01/13, 01/14, 01/15, 01/16, 01/17, 01/18	

This protocol considers this test or procedure investigational. If the physician feels this service is medically necessary, preauthorization is recommended.

The following protocol contains medical necessity criteria that apply for this service. The criteria are also applicable to services provided in the local Medicare Advantage operating area for those members, unless separate Medicare Advantage criteria are indicated. If the criteria are not met, reimbursement will be denied and the patient cannot be billed. Please note that payment for covered services is subject to eligibility and the limitations noted in the patient's contract at the time the services are rendered.

Populations	Interventions	Comparators	Outcomes
Individuals: <ul style="list-style-type: none"> • With lumbar degenerative disc disease 	Interventions of interest are: <ul style="list-style-type: none"> • Lumbar artificial intervertebral disc 	Comparators of interest are: <ul style="list-style-type: none"> • Conservative therapy • Lumbar spinal fusion 	Relevant outcomes include: <ul style="list-style-type: none"> • Symptoms • Functional outcomes • Quality of life • Treatment-related morbidity

Description

Total disc replacement, using an artificial intervertebral disc designed for the lumbar spine, is proposed as an alternative to spinal fusion in patients with degenerative disc disease (DDD) leading to disabling symptoms.

Summary of Evidence

For individuals who have lumbar degenerative disc disease who receive a lumbar artificial intervertebral disc, the evidence includes randomized controlled trials (RCTs) with five year outcomes and case series with longer term outcomes. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. Five-year outcomes for the ProDisc-L RCT have provided evidence for the noninferiority of artificial disc replacement. The superiority of ProDisc-L with circumferential fusion was achieved at two but not at five years in this unblinded trial. The potential benefits of the artificial disc (e.g., faster recovery, reduced adjacent-level disc degeneration) have not been demonstrated. In addition, considerable uncertainty remains whether response rates will continue to decline over longer time periods and long-term complications with these implants will emerge. Although some randomized trials have concluded that this technology is noninferior to spinal fusion, outcomes that would make noninferiority sufficient to demonstrate the clinical benefit of the artificial lumbar disc have not been established. The evidence is insufficient to determine the effects of the technology on health outcomes.

Policy

Artificial intervertebral discs of the lumbar spine are considered **investigational**.

Background

When conservative treatment of DDD fails, a common surgical approach is spinal fusion. More than 200,000 spinal fusions are performed each year. However, outcomes with spinal fusion have been controversial, in part due to the difficulty in determining if a patient's back pain is related to DDD and in part due to the success of the procedure itself. In addition, spinal fusion alters the spine biomechanics, potentially leading to premature disc degeneration at adjacent levels, a particular concern for younger patients. During the past 30 years, various artificial intervertebral discs have been investigated as an alternative approach to fusion. This approach, also referred to as total disc replacement or spinal arthroplasty, is intended to maintain motion at the operative level once the damaged disc has been removed and to maintain the normal biomechanics of the adjacent vertebrae.

Potential candidates for artificial disc replacement have chronic low back pain attributed to DDD, lack of improvement with nonoperative treatment, and none of the contraindications for the procedure, which include multilevel disease, spinal stenosis, spondylolisthesis, scoliosis, previous major spine surgery, neurologic symptoms, and other minor contraindications. These contraindications make artificial disc replacement suitable for a subset of patients for whom fusion is indicated. Patients who require procedures in addition to fusion (e.g., laminectomy, decompression) are not candidates for the artificial disc.

Use of a motion-preserving artificial disc increases the potential for various types of implant failure. They include device failure (device fracture, dislocation, or wear), bone-implant interface failure (subsidence, dislocation-migration, vertebral body fracture), and host response to the implant (osteolysis, heterotopic ossification, pseudotumor formation).

Regulatory Status

Three artificial lumbar disc devices (activL[®], Charité[®], ProDisc[®]-L) have been approved by the U.S. Food and Drug Administration (FDA) through the premarket approval process. Because the long-term safety and effectiveness of these devices were not known, approval was contingent on completion of postmarketing studies. The activL[®] (Aesculap Implant Systems), Charité[®] (DePuy), and ProDisc[®]-L (Synthes Spine) devices are indicated for spinal arthroplasty in skeletally mature patients with DDD at one level. DDD is defined as discogenic back pain with degeneration of the disc confirmed by patient history and radiographs. Production under the name Charité[®] was stopped in 2010.

A number of other artificial lumbar discs are in development or available only outside of the United States:

- The INMOTION[®] lumbar artificial disc (DePuy Spine) is a modification of the Charité[®] device with a change in name under the same premarket approval. The INMOTION[®] is not currently marketed in the United States.
- The Maverick[™] artificial disc (Medtronic) is not marketed in the United States due to patent infringement litigation.
- The metal-on-metal FlexiCore[®] artificial disc (Stryker Spine) has completed the investigational device exemption trial as part of the FDA approval process and is currently being used under continued access.
- Kineflex-L[™] (Spinal Motion) is a three piece, modular, metal-on-metal implant. An FDA advisory committee meeting on the Kineflex-L, scheduled in 2013, but was cancelled without explanation.

FDA product code: MJO.

Related Protocol

Artificial Intervertebral Disc: Cervical Spine

Services that are the subject of a clinical trial do not meet our Technology Assessment Protocol criteria and are considered investigational. *For explanation of experimental and investigational, please refer to the Technology Assessment Protocol.*

It is expected that only appropriate and medically necessary services will be rendered. We reserve the right to conduct prepayment and postpayment reviews to assess the medical appropriateness of the above-referenced procedures. **Some of this protocol may not pertain to the patients you provide care to, as it may relate to products that are not available in your geographic area.**

References

We are not responsible for the continuing viability of web site addresses that may be listed in any references below.

1. Blue Cross and Blue Shield Association Technology Evaluation Center (TEC). Artificial vertebral disc replacement. TEC Assessments. 2005; Volume 20: Tab 1.
2. Blue Cross and Blue Shield Association Technology Evaluation Center (TEC). Artificial lumbar disc replacement. TEC Assessments. 2007; Volume 22: Tab 2.
3. Blue Cross and Blue Shield Association Technology Evaluation Center (TEC). Artificial lumbar disc arthroplasty. TEC Assessments. 2013; Volume 28: Tab 7.
4. Ding F, Jia Z, Zhao Z, et al. Total disc replacement versus fusion for lumbar degenerative disc disease: a systematic review of overlapping meta-analyses. *Eur Spine J.* Mar 2017; 26(3):806-815. PMID 27448810
5. Jacobs W, Van der Gaag NA, Tuschel A, et al. Total disc replacement for chronic back pain in the presence of disc degeneration. *Cochrane Database Syst Rev.* 2012; 9:CD008326. PMID 22972118
6. U.S. Food and Drug Administration. Draft of PRODISC-L Total Disc Replacement package insert. http://www.accessdata.fda.gov/cdrh_docs/pdf5/P050010c.pdf. Accessed March 13, 2017.
7. U.S. Food and Drug Administration. PRODISC-L Summary of Safety and Effectiveness Data. 2006; http://www.accessdata.fda.gov/cdrh_docs/pdf5/P050010b.pdf. Accessed March 13, 2017.
8. Zigler J, Delamarter R, Spivak JM, et al. Results of the prospective, randomized, multicenter Food and Drug Administration investigational device exemption study of the ProDisc-L total disc replacement versus circumferential fusion for the treatment of 1-level degenerative disc disease. *Spine (Phila Pa 1976).* May 15, 2007; 32(11):1155-1162; discussion 1163. PMID 17495770
9. Zigler JE, Delamarter RB. Five-year results of the prospective, randomized, multicenter, Food and Drug Administration investigational device exemption study of the ProDisc-L total disc replacement versus circumferential arthrodesis for the treatment of single-level degenerative disc disease. *J Neurosurg Spine.* Dec 2012; 17(6):493-501. PMID 23082846
10. Zigler JE, Glenn J, Delamarter RB. Five-year adjacent-level degenerative changes in patients with single-level disease treated using lumbar total disc replacement with ProDisc-L versus circumferential fusion. *J Neurosurg Spine.* Dec 2012; 17(6):504-511. PMID 23082849
11. Delamarter R, Zigler JE, Balderston RA, et al. Prospective, randomized, multicenter Food and Drug Administration investigational device exemption study of the ProDisc-L total disc replacement compared with circumferential arthrodesis for the treatment of two-level lumbar degenerative disc disease: results at twenty-four months. *J Bone Joint Surg Am.* Apr 20 2011; 93(8):705-715. PMID 21398574

12. Schoenfeld AJ. Commentary on an article by Rick Delamarter, MD, et al.: "Prospective, randomized, multi-center Food and Drug Administration investigational device exemption study of the ProDisc-L total disc replacement compared with circumferential arthrodesis for the treatment of two-level degenerative lumbar disc disease. Results at twenty-four months". *J Bone Joint Surg Am*. Apr 20 2011; 93(8):e41. PMID 21398573
13. Garcia R, Jr., Yue JJ, Blumenthal S, et al. Lumbar total disc replacement for discogenic low back pain: two-year outcomes of the activL multicenter randomized controlled IDE clinical trial. *Spine (Phila Pa 1976)*. Dec 2015; 40(24):1873-1881. PMID 26630435
14. Aghayev E, Etter C, Barlocher C, et al. Five-year results of lumbar disc prostheses in the SWISSpine registry. *Eur Spine J*. Oct 2014; 23(10):2114-2126. PMID 24947182
15. Siepe CJ, Heider F, Wiechert K, et al. Mid- to long-term results of total lumbar disc replacement: a prospective analysis with 5- to 10-year follow-up. *Spine J*. Aug 1 2014; 14(8):1417-1431. PMID 24448028
16. Tropiano P, Huang RC, Girardi FP, et al. Lumbar total disc replacement. Seven to eleven-year follow-up. *J Bone Joint Surg Am*. Mar 2005; 87(3):490-496. PMID 15741612
17. Hannibal M, Thomas DJ, Low J, et al. ProDisc-L total disc replacement: a comparison of 1-level versus 2-level arthroplasty patients with a minimum 2-year follow-up. *Spine (Phila Pa 1976)*. Oct 1 2007; 32(21):2322-2326. PMID 17906573
18. North American Spine Society (NASS). NASS coverage policy recommendations: Lumbar Artificial Disc Replacement. May 2014; <https://www.spine.org/PolicyPractice/CoverageRecommendations/AboutCoverageRecommendations.aspx>. Accessed March 13, 2017.
19. Zigler J, Garcia R. ISASS Policy Statement - Lumbar Artificial Disc. *Int J Spine Surg*. 2015; 9:7. PMID 25785243
20. Chou R, Loeser JD, Owens DK, et al. Interventional therapies, surgery, and interdisciplinary rehabilitation for low back pain: an evidence-based clinical practice guideline from the American Pain Society. *Spine (Phila Pa 1976)*. May 1, 2009; 34(10):1066-1077. PMID 19363457
21. Chou R, Baisden J, Carragee EJ, et al. Surgery for low back pain: a review of the evidence for an American Pain Society Clinical Practice Guideline. *Spine (Phila Pa 1976)*. May 1, 2009; 34(10):1094-1109. PMID 19363455
22. National Institute for Health and Care Excellence (NICE). Prosthetic intervertebral disc replacement in the lumbar spine [IPG306]. 2009; <https://www.nice.org.uk/guidance/IPG306>. Accessed March 13, 2017.
23. Centers for Medicare and Medicaid Services (CMS). National Coverage Determination (NCD) for LUMBAR ARTIFICIAL DISC Replacement (LADR) (150.10). 2007; <https://www.cms.gov/medicare-coveredatabase/details/ncddetails.aspx?NCDId=313&ncdver=2&CoverageSelection=National&Keyword=lumbar+artificial+disc&KeywordLookup=Title&KeywordSearchType=And&id=170&bc=gAAAABAAAA&>. Accessed March 13, 2017.
24. Centers for Medicare and Medicaid Services (CMS). Change request 5727, CMS Manual system. September 11, 2007; <http://www.cms.hhs.gov/Transmittals/Downloads/R75NCD.pdf>. Accessed March 13, 2017.
25. Centers for Medicare and Medicaid Services (CMS). Medicare Learning Network Matters. 2007; <http://www.cms.hhs.gov/MLNMattersArticles/downloads/MM5727.pdf>. Accessed March 13, 2017.